

## Interface properties of Ti<sub>3</sub>SiC<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> ceramics: Combined experiments and first-principles calculations

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# Interface properties of $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$ ceramics: Combined experiments and first-principles calculations

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# Abstract

The synthesis, characterization, and first-principles calculations of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics were reported. X-ray diffraction measurements showed that the composite ceramics were highly pure. Scanning electron microscopy and transmission electron microscopy were used to characterize the interface information for  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  crystals. Surface energies and interface properties were calculated using the first-principles method. The results suggested that  $\text{Ti}_3\text{SiC}_2$  with Ti terminations and  $\text{Al}_2\text{O}_3$  with O terminations are more stable than other terminations crystals. Thus powerful attraction between the coordinatively unsaturated Ti and O atoms on the  $\text{Ti}_3\text{SiC}_2\parallel\text{Al}_2\text{O}_3$  interface would result in higher work of adhesion (Wad) and shorter boundary distance, demonstrating the intercrystalline strengthening of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  composite ceramics.

**Keywords:**  *$\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics; first-principles calculations; interface properties*

## 1. Introduction<sup>1</sup>

Alumina ceramics, which exhibit high hardness, suitable flexure strength, and

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<sup>1</sup> Abbreviations:

DFT	Density functional theory
EDS	Energy-dispersive X-ray spectroscopy
SEM	Scanning electron microscopy
TEM	Transmission electron microscopy
UBER	Universal binding energy relation
XRD	X-ray diffraction

1 high chemical stability, have attracted significant attention in recent years, and as a  
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3 result, they have been commonly used in industry[1–3]. However, their low fracture  
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5 toughness limits their further development as a reliable ceramic material[4], and  
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7 therefore, a novel material should be designed to address this issue[5,6]. For example,  
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9 self-toughening  $\text{Al}_2\text{O}_3$  ceramics[7], graphene nanoplate-toughened  $\text{Al}_2\text{O}_3$ -based  
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11 ceramics[8], and multiwalled carbon nanotube-toughened  $\text{Al}_2\text{O}_3$ -based ceramics[9],  
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13 have been prepared and found to exhibit good mechanical properties.  
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20 In addition, MAX phases (M = early transition metal; A = main group element; X  
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22 = C or N), which were first reported by Jeitschko and Nowotny in the 1960s, have  
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24 received considerable attention in recent years[10-13]. To date, more than 150 types  
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26 of MAX phases have been discovered, most of which belong to the 211[14-16],  
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28 312[17-19], and 413[20-22] phases, and their properties have been investigated. In the  
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30 case of the 312 phase,  $\text{Ti}_3\text{SiC}_2$ [23],  $\text{Ti}_3\text{AlC}_2$ [24], and  $\text{Ti}_3\text{GeC}_2$ [25] have been widely  
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32 applied owing to their facile preparation and excellent stability. In particular,  $\text{Ti}_3\text{SiC}_2$   
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34 contains strong covalent Ti-C bonds and weak metallic Ti-Si bonds, thereby resulting  
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36 in a combination of ceramic and metallic properties[26,27], indicating its potential to  
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38 act as a toughening phase in alumina ceramics. Recently, our group synthesized  
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40  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramic composites and found a clear boundary between the  $\text{Ti}_3\text{SiC}_2$   
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42 and  $\text{Al}_2\text{O}_3$  crystal surfaces, in addition to improved mechanical properties compared to  
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44 the  $\text{Al}_2\text{O}_3$  system alone[4,28]. First-principles calculations, which can be used to  
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46 reveal the atomic and electronic structures of crystals, are widely employed in the  
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48 study of MAX phases[29,30], such as in the study of solid solutions[18,31], surface  
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properties, and interface properties[32]. However, although the surface properties of  $\text{Ti}_3\text{SiC}_2$  (0 0 1) have been calculated[33], the interface properties between  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  have not yet to be clearly identified.

Thus, we herein report the preparation of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramic composites via the hot-pressing sintering method. In addition, the composition and morphological characteristics of the  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  grains are investigated via X-ray diffraction (XRD), scanning electron microscopy (SEM), and transmission electron microscopy (TEM). Furthermore, first-principles calculations are used to explore the accurate surface/interface structure and features of the  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  crystals. To the best of our knowledge, this is the first report into the properties of the  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  interface with the aim of supporting the mechanism of boundary strengthening in composite ceramics.

## 2. Materials and methods

### 2.1 Experimental method

The raw materials employed herein were fine powders. Sample 1 was obtained by means of the following. Dry 50 vol%  $\text{Al}_2\text{O}_3$  (18.6 g, 99.6 wt% pure, particle size was approximately 0.5  $\mu\text{m}$ ) and 50 vol%  $\text{Ti}_3\text{SiC}_2$  ( 21.4 g, 99 wt% pure, particle size was approximately approximately 0.5  $\mu\text{m}$ ) were dispersed evenly in ethanol at 200 rpm for 4 h in a planetary mill (XQM-2, Changsha Tianchuang Powder Technology Co. LTD, China), following which the resulting slurry was dried in a drying oven at 70 °C and sieved using a 50 mesh sifter. Subsequently, the raw materials were sintered

in a vacuum hot-pressing furnace (VVPgr-80–2300, Shanghai Haoyue Electric Furnace Technology Co. LTD, China) at 1450 °C for 1.5 h under a vacuum pressure of  $<8.0 \times 10^{-3}$  MPa, and the pressure was maintained at 30 MPa below uniaxial pressure when the sintering temperature was 1450 °C. Finally, the sintered bulk were allowed to cool naturally to room temperature prior to their removal from the furnace.

The obtained sample 1 was polished using SiC powder and then cut into 3 mm × 4 mm × 3 mm rectangular solid using an inner circle cutting machine (J5090, Shenyang Kejing Automation equipment Co. LTD, China). It was then cleaned using ultrasonication in ethanol for 20 min. Phase compositions of the obtained sample was determined by XRD (D8 ADVANCE, Bruker, Germany). and mass fraction was calculated approximately using the K value method:

$$W_x = I_{xi} / \sum_{i=A}^N \frac{I_i}{K_A} \quad (1)$$

where  $W_x$  is the mass fraction of the X phase,  $I_{xi}$  represents the highest peak value of the X phase, and  $K_A^i$  can determined via RIR (3.69 for  $Ti_3SiC_2$  and 1.01 for  $Al_2O_3$  according to the standard PDF#74-0310 and 71-1683 respectively). TEM specimens were prepared by cutting to the thickness of  $<5 \mu m$  and then undergoing argon-ion milling. Microstructural investigations were performed by TEM (JSM-2100F, JEOL, Japan) operated at 200kV and SEM (JSM-7610F, JEOL, Japan) with an energy dispersive spectroscopy (EDS) after coating Au on measurement surface for 30S.

## 2.2 Theoretical method

First-principles calculations were performed using the plane-wave CASTEP codes based on the density functional theory (DFT) approach. The electron exchange-correlation was described using the GGA-PBE functional. The plane-wave ultrasoft pseudopotential methods were exploited to present the interactions between the electrons and the ion cores. A variety of plane-wave cutoff energies and k-points were used as listed in Tab. S1 and S2, which included 15 surface/ interface models respectively. A vacuum layer of 15 Å was selected to prevent unwanted interactions between the surface atoms. The initial optimal interface layer thickness was calculated using the universal binding energy relations method (UBER). All models were relaxed until the forces on each atom were  $<0.03$  eV/Å, and the stress on each atom was converged to 0.05 GPa. The maximum atom displacement was set at 0.001 Å, and the total energy changes during the optimization finally converged to  $10^{-5}$  eV/atom.

## 3. Results and discussion

### 3.1 Composition and microstructural properties

Sample 1 was synthesized from  $\text{Al}_2\text{O}_3$  and  $\text{Ti}_3\text{SiC}_2$  powder. The phase compositions of the obtained  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics were analyzed through XRD (Fig. 1), where strong peaks corresponding to  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  were clearly shown. The TiC peaks were not observed in sample 1, indicating that  $\text{Al}_2\text{O}_3$  and  $\text{Ti}_3\text{SiC}_2$  were stable and did not reacted with each other. The contents of  $\text{Al}_2\text{O}_3$  and  $\text{Ti}_3\text{SiC}_2$  were 46.2 wt% and 53.8 wt%, respectively by calculation of eq. (1).

Fig. 2a shows the fracture surface of sample 1. As can be seen, the particle diameters of the  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  grains are approximately 2–3  $\mu\text{m}$ , with no abnormal growth being observed. In addition, the interface combination is close and no obvious pores are present, thereby revealing the sintering densification of such  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics. Furthermore, the energy-dispersive X-ray spectroscopy (EDS) mapping images are presented in Fig. 2b, indicating that two types of grain are present, and the aggregation of Si and TiC does not occur, as also suggested by the XRD results.

Grains morphology could be simulated by Morphology module. As can be seen in Fig. S1,  $\text{Ti}_3\text{SiC}_2$  crystal exhibits plate-like shape and (0 0 1) as well as (1 0 0) planes are main exposed surfaces. While for  $\text{Al}_2\text{O}_3$  crystal, (0 0 1) and (0 1 2) planes consist outside surfaces. Fig. 3 shows the different interface morphologies of two neighboring grains in the  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  composite. More specifically, In Fig. 3a, the black crystal represents a  $\text{Ti}_3\text{SiC}_2$  grain, whereas the  $\text{Al}_2\text{O}_3$  particles are gray in color. The interface boundaries between two grains are clear and straight. Furthermore, selected area electron diffraction (SEAD) analysis of the area indicated by the red oval is shown in Fig. 3b. For  $\text{Ti}_3\text{SiC}_2$ , the d-spacings of the three diffraction spots are 0.824, 0.264, and 0.244 nm respectively, which are consistent with the (0 0 2), (1 0 0), and (1 0 2) crystal planes of the  $\text{Ti}_3\text{SiC}_2$  grains. Similarly, the (0 0 6), (0 2 4), and (0 2 10) crystal planes of the  $\text{Al}_2\text{O}_3$  particles are also indicated. The dihedral angle between  $\text{Ti}_3\text{SiC}_2$  (1 0 0) and  $\text{Al}_2\text{O}_3$  (0 0 6) is approximately  $180^\circ$ , which suggests that the orientation relationship is  $\text{Ti}_3\text{SiC}_2$  (1 0 0)  $\parallel$   $\text{Al}_2\text{O}_3$  (0 0 1). The incident beam is parallel



to the [0 1 0] axis of  $\text{Ti}_3\text{SiC}_2$  and the [1 0 0] axis of the  $\text{Al}_2\text{O}_3$  crystal. Fig. 3c shows the lattice fringes of  $\text{Ti}_3\text{SiC}_2$  (0 0 1) and  $\text{Al}_2\text{O}_3$  (0 1 2), whereby the parallel crystal planes clearly indicate the interface composition of  $\text{Ti}_3\text{SiC}_2$  (0 0 1)  $\parallel$   $\text{Al}_2\text{O}_3$  (0 1 2).

### 3.2 Lattice parameters and surface properties of $\text{Ti}_3\text{SiC}_2$ and $\text{Al}_2\text{O}_3$

The lattice parameters of  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  by first-principles calculations are listed in Tab. 1. The calculated results are in agreement with the standard PDF#74-0310 ( $\text{Ti}_3\text{SiC}_2$ ) and 71-1683 ( $\text{Al}_2\text{O}_3$ ), thereby indicating the reliability of the simulation methods and the calculation parameters.

Table 1. Lattice parameters and bond lengths for  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$

$\text{Ti}_3\text{SiC}_2$		$\text{Al}_2\text{O}_3$	
a/Å	3.078	a/Å	4.816
c/Å	17.621	c/Å	13.132
c/a	5.724	c/a	2.73
Ti1-C/Å	2.191	Al1-O/Å	1.874
Ti2-C/Å	2.102	Al2-O/Å	1.996
Ti2-Si/Å	2.681		

In terms of the crystal growth morphology, the outside surfaces are composed of the (1 0 0) and (0 0 1) planes for  $\text{Ti}_3\text{SiC}_2$ , and the (0 0 1) and (0 1 2) planes for the  $\text{Al}_2\text{O}_3$  grains, which is also observed by TEM images. Thus, the pair-combinations of these four surfaces would be expected to form the main interface in  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics. As such, prior to investigation of the interface properties, the surface energies of the above four planes should be considered.

As shown in Fig. 4, six models of the  $\text{Ti}_3\text{SiC}_2$  (0 0 1) plane with different

terminations were established, i.e., (a) Ti1(C); (b) C(Ti2); (c) Ti2(Si); (d) Si(Ti2); (e) Ti2(C); and (f) C(Ti1). For example, the Ti1(C) termination indicates that the surface atom is Ti1 and the subsurface atom is C. In addition, Fig. S2 shows three models of the  $\text{Ti}_3\text{SiC}_2$  (1 0 0) plane, and Fig. S3 shows six slabs of the  $\text{Al}_2\text{O}_3$ (0 0 1) and (0 1 2) planes. In total, 15 surface models were obtained for the subsequent surface energy calculations.

Surface relaxation is an important feature of the surface structure. Owing to the unsaturated bonds of surface atoms, they tend to move to new positions to reduce the energy. Surface convergence tests were therefore conducted to ensure that the slabs would in accord with bulk-like interiors. The interlayer relaxation can be evaluated as follows:

$$\Delta d_{ij} = \frac{(d_{ij} - d_{ij, \text{bulk}})}{d_{ij, \text{bulk}}} \times 100 \% \quad (2)$$

where  $d_{ij, \text{bulk}}$  and  $d_{ij}$  represent the distance between the  $i$ th and  $j$ th layers in the bulk and after relaxation, respectively. A positive value for  $\Delta d_{ij}$  indicates layer expansion, whereas a negative value indicates contraction. Because a number of structural model thicknesses were tested, only the changes in the innermost interlayers are listed in Tab. S3. As can be seen, the  $\Delta d$  of the innermost interlayer is particularly low, which indicates that the slab is sufficiently thick to meet the bulk properties. Additional investigations into the MAX phase surface relaxation can be found in the literature<sup>15,16,32,33</sup>.

On the surface, a variety of terminations will lead to different surface energies, and therefore, it is necessary to understand which type of termination is the most

stable. The surface energies for the different terminations can be calculated as follows:

$$E_{surf} = \frac{1}{2A} (E_{slab} - N_{Ti} \mu_{Ti} - N_{Si} \mu_{Si} - N_C \mu_C - PV - TS) \quad (3)$$

where A represents the surface area,  $E_{slab}$  is equal to the total energy of the slab,  $N_{Ti}$ ,  $N_{Si}$ , and  $N_C$  are the number of Ti, Si, and C atoms in the slab, respectively,  $\mu_{Ti}$ ,  $\mu_{Si}$ , and  $\mu_C$  are the chemical potentials of the Ti, Si, and C atoms in the slab, respectively, and V and S are the volume and entropy of the system, respectively. At 0 K and a low pressure, the values of PV and TS can be neglected. The total chemical potentials of the surface system  $\mu_{Ti_3SiC_2}$  are therefore equal to the bulk energy  $E_{Ti_3SiC_2}^{bulk}$ , and  $\mu_{Ti_3SiC_2}$  is the sum of the Ti, Si, and C chemical potentials, which can be expressed as

$$E_{Ti_3SiC_2}^{bulk} = \mu_{Ti_3SiC_2} = 3\mu_{Ti} + \mu_{Si} + 2\mu_C \quad (4)$$

Thus, Eq.(4) becomes

$$E_{surf} = \frac{1}{2A} [E_{slab} - \frac{1}{2} N_C E_{Ti_3SiC_2}^{bulk} - \mu_{Ti} (N_{Ti} - \frac{3}{2} N_C) - \mu_{Si} (N_{Si} - \frac{1}{2} N_C)] \quad (5)$$

For a stoichiometric surface,  $2N_{Ti} = 3N_C$ ,  $2N_{Si} = N_C$ , and thus,

$$E_{surf} = \frac{1}{2A} (E_{slab} - \frac{1}{2} N_C E_{Ti_3SiC_2}^{bulk}) \quad (6)$$

For a non-stoichiometric surface,  $2N_{Ti} \neq 3N_C$ ,  $2N_{Si} \neq N_C$ , and therefore, the chemical potentials of Ti and Si would influence the surface energy value. Due to the stabilities of the sample substances, the chemical potentials of Ti and Si in the slab must be lower than those in the bulk. The maximum values of the chemical potentials for Ti, Si, and C can be represented as

$$\Delta\mu_{Ti} = \mu_{Ti} - E_{Ti}^{bulk} \leq 0 \quad (7)$$

$$\Delta\mu_{Si} = \mu_{Si} - E_{Si}^{bulk} \leq 0 \quad (8)$$

$$\Delta\mu_C = \mu_C - E_C^{bulk} \leq 0 \quad (9)$$

where  $\Delta\mu_{Ti}$ ,  $\Delta\mu_{Si}$ , and  $\Delta\mu_C$  represent the changes in chemical potential between the sample elements and the pure bulks of Ti, Si, and C, where  $E_{Ti}$ ,  $E_{Si}$ , and  $E_C$  are the energies of the bulk hcp-Ti, fcc-Si, and diamond C, respectively. Through a combination of Eqs (4), (7), (8), and (9),

$$3\Delta\mu_{Ti} + \Delta\mu_{Si} \geq E_{Ti_3SiC_2}^{bulk} - (3E_{Ti}^{bulk} + E_{Si}^{bulk} + 2E_C^{bulk}) \quad (10)$$

where

$$E_{Ti_3SiC_2}^f = 3E_{Ti}^{bulk} + E_{Si}^{bulk} + 2E_C^{bulk} - E_{Ti_3SiC_2}^{bulk} \quad (11)$$

In this case,  $E^f$  is the formation energy of  $Ti_3SiC_2$  is 5.4 eV. Finally, the following surface energy equations can be obtained:

$$E_{surf} = \frac{1}{2A} [E_{slab} - \frac{1}{2} N_C E_{Ti_3SiC_2}^{bulk} - E_{Ti}^{bulk} (N_{Ti} - \frac{3}{2} N_C) - E_{Si}^{bulk} (N_{Si} - \frac{1}{2} N_C) - \Delta\mu_{Ti} (N_{Ti} - \frac{3}{2} N_C) - \Delta\mu_{Si} (N_{Si} - \frac{1}{2} N_C)] \quad (12)$$

Because the non-stoichiometric surface energy is a function of  $\Delta\mu_{Ti}$  and  $\Delta\mu_{Si}$ , it is a range based on the change in chemical potential, rather than a definite value. By the same method, the surface energy of  $Al_2O_3$  can be obtained as

$$E_{surf} = \frac{1}{2A} [E_{slab} - \frac{1}{2} N_{Al} E_{Al_2O_3}^{bulk} - E_O^{bulk} (N_O - \frac{3}{2} N_{Al}) - \Delta\mu_O (N_O - \frac{3}{2} N_{Al})] \quad (13)$$

For simplification, the means of the parameters for  $Al_2O_3$  above are omitted, and the  $E^f$  value of  $Al_2O_3$  is calculated to be 15.65 eV.

Thus, as derived from Eqs (12) and (13), the surface energies  $E_{Ti_3SiC_2}$  and  $E_{Al_2O_3}$  are functions of  $\Delta\mu_{Ti}$ ,  $\Delta\mu_{Si}$ , and  $\Delta\mu_O$ .

Fig. 5a shows the surface energy of  $\text{Ti}_3\text{SiC}_2$  (0 0 1) with variation in  $\Delta\mu_{\text{Ti}}$ , and  $\Delta\mu_{\text{Si}}$  fixed at zero. As can be seen from this figure, the surface energies of the C(Ti1) and C(Ti2) terminations are significantly higher than those of the other four terminations over the whole range of  $\Delta\mu_{\text{Ti}}$ , which demonstrates that both termination surfaces are unstable. In contrast, the surface energies of the Si(Ti2) and Ti2(C) terminations are constant, and the other four surface energies are linearly dependent on  $\Delta\mu_{\text{Ti}}$ . Upon increasing the value of  $\Delta\mu_{\text{Ti}}$ , the surface energies of the Ti2(Si) and Ti1(C) terminations decrease, and the values of both reach minimum when  $\Delta\mu_{\text{Ti}}$  at zero, thereby suggesting that the Ti2(Si) and Ti1(C) terminations produce the most stable surface. In addition, Fig. 5b shows the surface energy of  $\text{Ti}_3\text{SiC}_2$  (0 0 1) whereby  $\Delta\mu_{\text{Si}}$  was varied while  $\Delta\mu_{\text{Ti}}$  was unchanged at zero. As indicated, the Ti1(C) and C(Ti2) terminations are independent of the range of  $\Delta\mu_{\text{Si}}$ . It was found that the C(Ti1) and Ti2(C) termination surface energies increased while those of the Ti2(Si) and Si(Ti2) terminations decreased as  $\Delta\mu_{\text{Si}}$  was increased to zero. The results presented in Fig. 5 therefore indicates that the Ti1(C) and Ti2(C) terminations are more stable over the ranges of  $\Delta\mu_{\text{Ti}}$  and  $\Delta\mu_{\text{Si}}$  examined herein. Furthermore, Fig. S4a and S4b show the surface energy of  $\text{Ti}_3\text{SiC}_2$  (1 0 0) where  $\Delta\mu_{\text{Ti}}$  and  $\Delta\mu_{\text{Si}}$  are both varied, and in both cases, the C(Ti2) terminations are constant, the C(Ti1) termination surface energy increases, and the (Ti-Si) termination surface energy decreases upon increasing  $\Delta\mu_{\text{Ti}}$  and  $\Delta\mu_{\text{Si}}$ . This indicates the superior stability of the (Ti-Si) termination surface. Moreover, Fig. S4c shows the  $\text{Al}_2\text{O}_3$  (0 0 1) and (0 1 2) surface energies as a function of  $\Delta\mu_{\text{O}}$ . As indicated, the surface energies for (0 0 1)-Al1 and

(0 1 2)-Al are independent of  $\Delta\mu_O$ , the (0 0 1)-O and (0 1 2)-O1 terminations show reduced values, and those for the (0 0 1)-Al2 and (0 1 2)-O2 termination increase upon increasing  $\Delta\mu_O$ . In total, the five most stable termination surfaces present in the  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  grains are -Ti1(C) and -Ti2(C) for the  $\text{Ti}_3\text{SiC}_2$  (0 0 1) plane, -Ti-Si for the  $\text{Ti}_3\text{SiC}_2$  (1 0 0) plane, and (0 0 1)-Al1 and (0 1 2)-O1 for the  $\text{Al}_2\text{O}_3$  grain. For simplicity, we named  $\text{Ti}_3\text{SiC}_2$  (0 0 1)-Ti1(C) as TSC (0 0 1)-Ti1,  $\text{Ti}_3\text{SiC}_2$  (0 0 1)-Ti2(C) as TSC (0 0 1)-Ti2,  $\text{Ti}_3\text{SiC}_2$  (1 0 0)-Ti-Si as TSC (1 0 0),  $\text{Al}_2\text{O}_3$  (0 0 1)-Al1 as AO (0 0 1), and  $\text{Al}_2\text{O}_3$  (0 1 2)-O1 as AO (0 1 2).

Fig. 6 shows the differences in electron density for the five low energy planes. More specifically, for the TSC(0 0 1)-Ti1 terminations (model a), surface relaxation causes significant variations in the charge distribution on the surface area, whereas for the TSC(0 0 1)-Ti2 and TSC(1 0 0) terminations (models b and c), the electron density increases between the surface Ti and subsurface C layers, suggesting that the Ti-C chemical bond is strengthened after relaxation. In the case of the AO(0 0 1) terminations (model d), due to convergence of the surface Al atoms to the subsurface O layer, the electron density increases around the subsurface O atoms while reducing around the Al atoms. In addition, no significant changes were observed for the AO(0 1 2) terminations (model e), and the charge difference was distributed uniformly both in the bulk and at the surface, thereby suggesting that the AO(0 1 2) terminations presented little influence following relaxation when compared to the other four models. Tab. S4 lists average bond lengths of Ti-C, Ti-Si and Al-O in surface/bulk models. The shorter bond lengths indicates the surface contraction after relaxing,

which is in accord with the inference deduced from Fig.6.

### 3.3 Interface properties in $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$ ceramics

Based on the above discussion, five stable surfaces with different crystal planes or terminations were selected to construct the interface models, thereby resulting in the preparation of fifteen interface models. Due to the different surface models have inconsistent lattice parameters (e.g.,  $\text{Ti}_3\text{SiC}_2$  (0 0 1) plane,  $U = V = 3.759 \text{ \AA}$ ;  $\text{Al}_2\text{O}_3$  (0 0 1) plane,  $U = V = 4.578 \text{ \AA}$ ), if the two surfaces combine directly, the  $\text{Ti}_3\text{SiC}_2$  unit cell would suffer tension force and the  $\text{Al}_2\text{O}_3$  unit cell would be exposed to stress, giving a mismatch rate of close to 20%. That does not truly represent the interface environment. As can be seen in Fig. 7, a supercell was built using a  $3 \times 3$  unit cell for  $\text{Ti}_3\text{SiC}_2$  (0 0 1) and a  $2 \times 2$  for  $\text{Al}_2\text{O}_3$  (0 0 1), and the revised lattice parameters are  $U = V = 9.201 \text{ \AA}$  for  $\text{Ti}_3\text{SiC}_2$  (0 0 1) and  $U = V = 9.518 \text{ \AA}$  for  $\text{Al}_2\text{O}_3$  (0 0 1). This resulted in a reduction in the mismatch rate to 1.7%, thereby suggesting that this interface model ( $U = V = 9.359 \text{ \AA}$ ) could approximately reflect the real interface structure.

Thus, the unit cell and supercell parameters, and mismatch rates of the fifteen established interface models are presented in Tab. S5. As can be seen from the data presented, the maximum mismatch rate is 3.7% for  $\text{Ti}_3\text{SiC}_2$  (1 0 0)  $\parallel$   $\text{Al}_2\text{O}_3$  (0 1 2) along the V direction. The low mismatch rates for interface models indicate that they are able to accurately reflect the data of  $\text{Ti}_3\text{SiC}_2 \parallel \text{Al}_2\text{O}_3$  interfaces. Figures S4, S5, and S6 show the fifteen interface structures models in total.

To optimize the interface distance between two surfaces, the dependence of the interface energy on the surface distance was plotted using the UBER method, as

shown in Fig. S8. The stability of the interface can be qualitatively expressed by the work of adhesion ( $W_{ad}$ ):

$$W_{ad} = (E_a^{slab} + E_b^{slab} - E_{a/b}^{slab}) / A \quad (14)$$

where  $E_a^{slab}$ ,  $E_b^{slab}$  are the energies of surface models a and b, respectively,  $E_{a/b}^{slab}$  is the energy of the interface model composed of a and b, A represents the interface area, and  $W_{ad}$  is defined as the reversible work per unit area to divide an interface into two free surfaces. As can be seen from Fig. S8, the approximately optimized interface distance ( $d_0$ ) can be predicted using the UBER method, corresponding to the minimum value of the total energy. To improve its efficiency, the UBER method is a coarse method with constrained atoms, and therefore, the subsequent step involves geometry optimization starting from  $d_0$  and full relaxation. Finally,  $W_{ad}$  can be calculated using Eq. (14). The corresponding values of  $W_{ad}$  and the interface distance for the fifteen interface models are presented in Fig. 8.

As can be seen from Fig. 8A, models (a–g) represent the six interface models of  $Ti_3SiC_2$  and  $Al_2O_3$ , where the  $W_{ad}$  value ranging from 3.88 to 5.95 J/m<sup>2</sup> suggests that the interfaces of  $Ti_3SiC_2$  and  $Al_2O_3$  exhibit the highest stabilities of the various models. By contrast, the lower average  $W_{ad}$  values of 1.92 and 3.13 J/m<sup>2</sup> for  $Al_2O_3 \parallel Al_2O_3$  (g–i) and  $Ti_3SiC_2 \parallel Ti_3SiC_2$  (k–p) respectively, indicate their lower interface stabilities compared to  $Ti_3SiC_2 \parallel Al_2O_3$ . The interface distances were also measured, as shown in Fig. 8B. Thus, the higher d values of models (a) and (b) (i.e., 1.98 and 2.08 Å, respectively) indicate the presence of weaker binding forces between  $Ti_3SiC_2$  (0 0 1)-Ti and  $Al_2O_3$  (0 0 1)-Al. The interface distances of <1.5 Å measured for models c–f



1 suggest strong binding forces at the interface, which correspond to the high  $W_{ad}$   
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3 values. In addition, the interface distances of models (g–p) generally range from 2.0 to  
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5 2.8 Å, with the exception (h) and (n), whose  $d$  values of 1.85 and 1.56 Å, respectively.  
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7 Although short distances were found for  $Al_2O_3$  (0 1 2)  $\parallel$   $Al_2O_3$  (0 1 2) and  $Ti_3SiC_2$  (1 0  
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9 0)  $\parallel$   $Ti_3SiC_2$  (1 0 0) (models n and h respectively), their  $W_{ad}$  values did not display  
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11 any significant increase, thereby suggesting that compared to  $Ti_3SiC_2 \parallel Al_2O_3$ , the  
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13 binding forces of  $Al_2O_3 \parallel Al_2O_3$  and  $Ti_3SiC_2 \parallel Ti_3SiC_2$  are significantly weaker.  
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20 The distance between the surface and the subsurface layer would be expected  
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22 to change upon the formation of a stable boundary. Thus, as shown in Fig. S9, the  
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24 majority of surface layers expand in the  $Al_2O_3$  (0 1 2) plane. In models (h), (i), (d), (e),  
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26 and (f), the expansion ratios are 16.8%, 55.2%, 88.7%, 97.2%, and 101.8%  
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28 respectively, which suggest that the O atoms are susceptible to their interface  
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30 surroundings.  
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36 More specifically, Fig. 9 shows the differences in electron density following  
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38 geometry optimization of the interface models. Because of the similar differences in  
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40 the electron densities of the  $Al_2O_3 \parallel Al_2O_3$  and  $Ti_3SiC_2 \parallel Ti_3SiC_2$  interfaces, we only  
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42 selected models (m) and (h) to display. It is clear that the transferred charge is focused  
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44 on the interface of  $Ti_3SiC_2 \parallel Al_2O_3$ , whereas the electron density remains mostly stable  
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46 at the  $Al_2O_3 \parallel Al_2O_3$  and  $Ti_3SiC_2 \parallel Ti_3SiC_2$  interfaces. Notably, the O atom layer is  
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48 more likely to expand when the  $Al_2O_3$  (0 1 2) surface meets the  $Ti_3SiC_2$  (0 0 1) and (1  
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50 0 0) planes, and the average distance between Ti and O atoms was calculated to be  
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52 1.75 Å, which corresponds with the Ti-O bond length. Therefore, these data indicate  
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1 that the high work of adhesion and short interface distance of  $\text{Ti}_3\text{SiC}_2\parallel\text{Al}_2\text{O}_3$  are  
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3 derived from the strong attraction of unsaturated coordinated Ti and O atoms at the  
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5 interface.  
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## 10 11 4. Conclusions

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13 We herein reported the syntheses of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics using  $\text{Ti}_3\text{SiC}_2$  and  
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15  $\text{Al}_2\text{O}_3$  powders. XRD and SEM observations indicated that the samples were  
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17 essentially free from TiC impurity. Based on TEM observations and analysis of the  
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19 related literature, surface and interface models were established. The surface energies  
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21 of the  $\text{Ti}_3\text{SiC}_2$  (001) and (100) planes as well as the  $\text{Al}_2\text{O}_3$  (001) and (012) planes were  
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23 calculated by first-principles calculations. From a thermodynamics point of view,  
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25 (001)-Ti1(C), Ti2(C), and (100)-Ti-Si terminations for  $\text{Ti}_3\text{SiC}_2$  crystal and (001)-Al1  
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27 and (012)-O terminations for  $\text{Al}_2\text{O}_3$  crystal presented low surface energies, indicating  
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29 that these five surface slabs are more stable than the other planes examined. As a  
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31 result, the main interfaces of the  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics are composed of these five  
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33 surface models, and the work of adhesion ( $W_{\text{ad}}$ ) was calculated for each. It was found  
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35 that the  $W_{\text{ad}}$  value between  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  was higher than those of  
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37  $\text{Ti}_3\text{SiC}_2\parallel\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3\parallel\text{Al}_2\text{O}_3$ , suggesting that the interface between  $\text{Ti}_3\text{SiC}_2$  and  
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39  $\text{Al}_2\text{O}_3$  is stronger. Charge distribution measurements confirmed that the electron  
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41 density would improve considerably upon formation of the  $\text{Ti}_3\text{SiC}_2\parallel\text{Al}_2\text{O}_3$  interface  
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43 owing to the strong attraction between unsaturated coordinated Ti and O atoms at the  
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45 interface. To the best of our knowledge, this is the first report into the interface  
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properties of the  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics with the aim of supporting the mechanism of boundary strengthening in composite ceramics.

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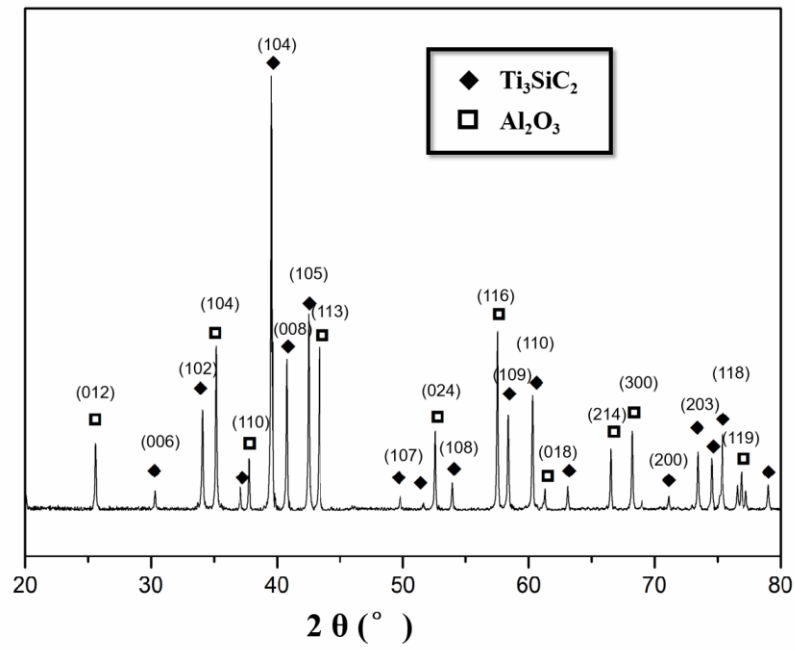
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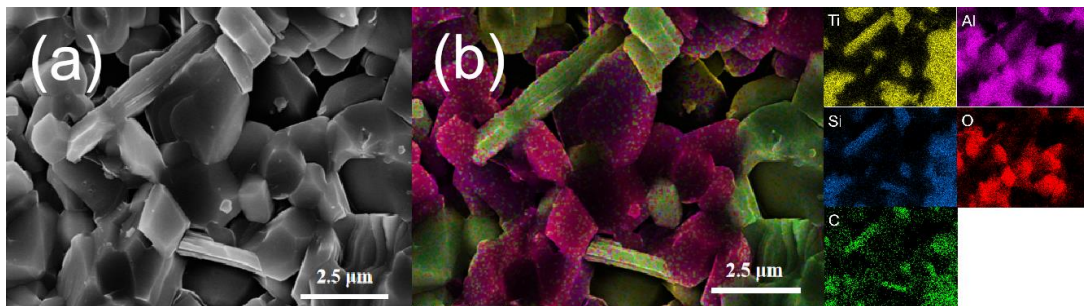
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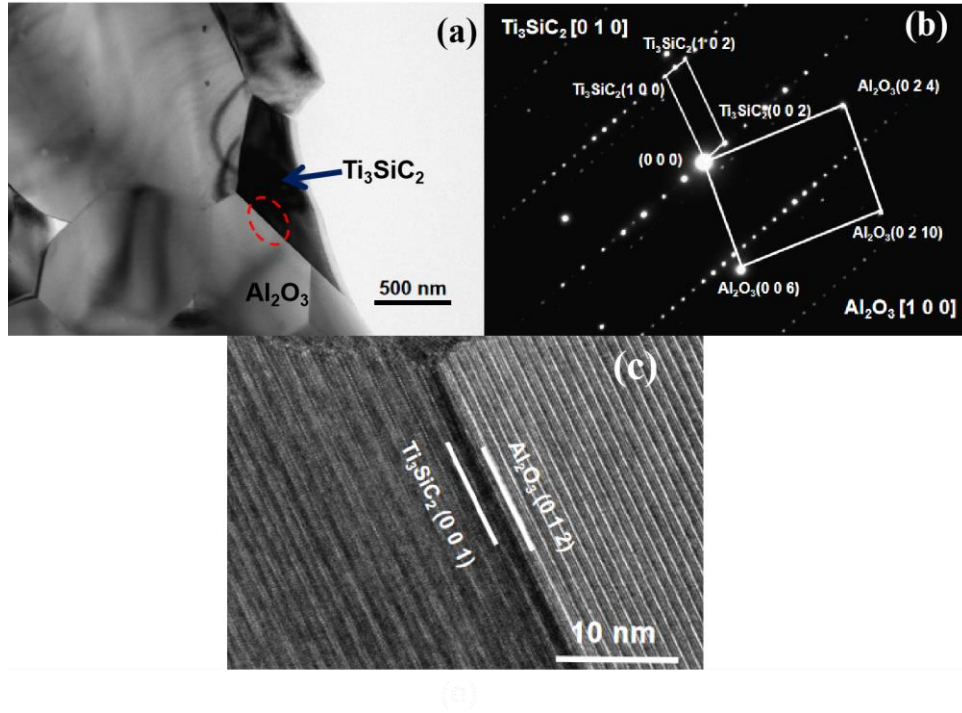
## Figures



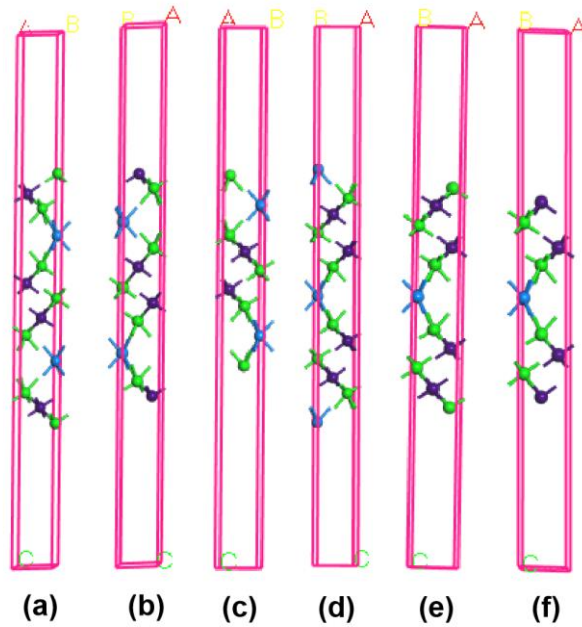
**Fig. 1.** XRD patterns of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics.



**Fig. 2.** (a) SEM and (b) EDS images of  $\text{Ti}_3\text{SiC}_2/\text{Al}_2\text{O}_3$  ceramics.

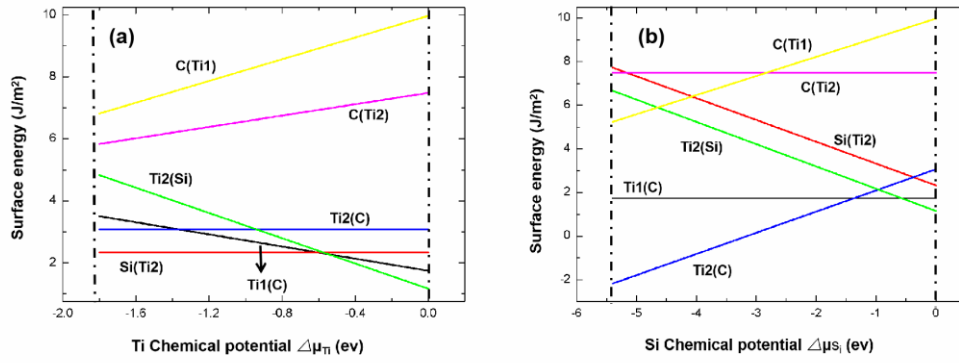


**Fig. 3.** (a) Bright-field TEM figures of  $\text{Ti}_3\text{SiC}_2\parallel\text{Al}_2\text{O}_3$  interface. (b) SAED pattern of  $\text{Ti}_3\text{SiC}_2\parallel\text{Al}_2\text{O}_3$  interface. (c) Lattice fringes of the  $\text{Ti}_3\text{SiC}_2$  (001) and  $\text{Al}_2\text{O}_3$  (012) planes.

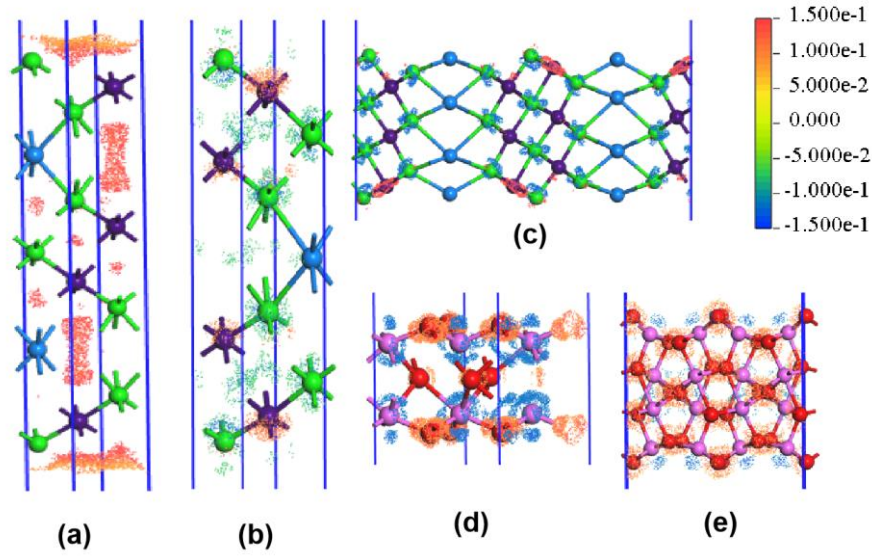


**Fig. 4.** Structural models of the  $\text{Ti}_3\text{SiC}_2$  (001) plane with different terminations (green: Ti; blue: Si; purple: C). (a) Ti1(C); (b) C(Ti2); (c) Ti2(Si); (d) Si(Ti2); (e) Ti2(C); and (f) C(Ti1).

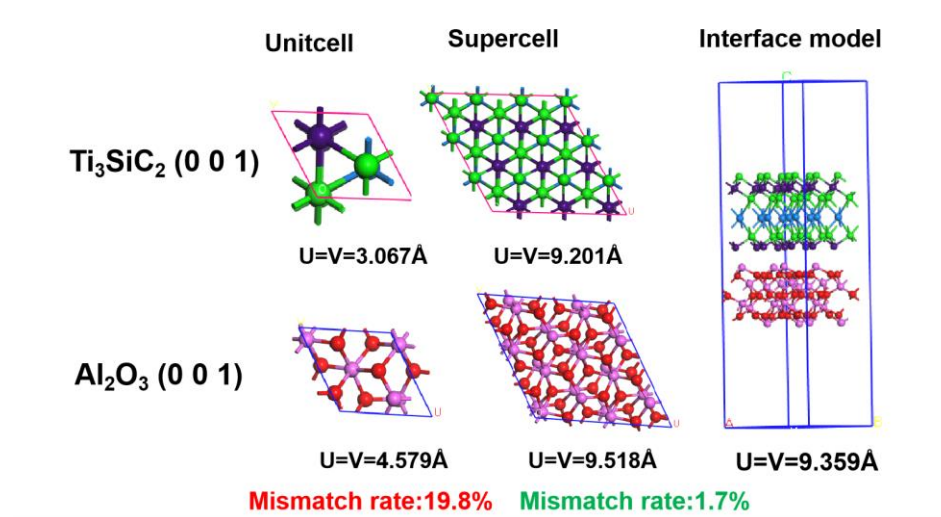




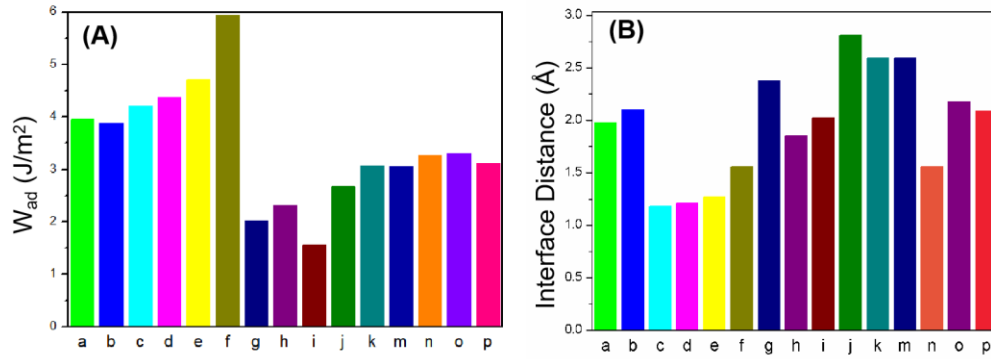
**Fig. 5.** Surface energies upon variation in the chemical potentials. (a) and (b) Surface energy of  $\text{Ti}_3\text{SiC}_2$  (001) as a function of  $\Delta\mu_{\text{Ti}}$  and  $\Delta\mu_{\text{Si}}$ , respectively.



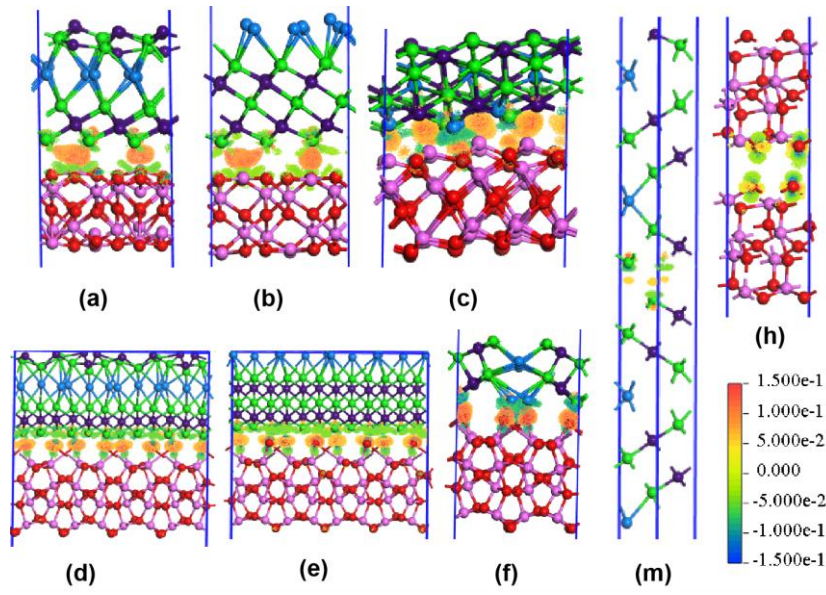
**Fig. 6.** Electron density differences for the  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  crystal surfaces (green: Ti; blue: Si; purple: C; pink: Al; red: O). (a): TSC(001)-Ti1; (b) TSC(001)-Ti2; (c) TSC(100); (d) AO(001); and (e) AO(012).



**Fig. 7.** Unit cell and supercell models of  $\text{Ti}_3\text{SiC}_2$  and  $\text{Al}_2\text{O}_3$  with different mismatch rates.



**Fig. 8.** (A)  $W_{ad}$  values and (B) interface distances for the fifteen interface models. (a)TSC (001)-Ti1 $\parallel$  AO (001); (b)TSC (001)-Ti2 $\parallel$  AO (001); (c)TSC (100) $\parallel$  AO (001); (d)TSC (001)-Ti1 $\parallel$  AO (012); (e)TSC (001)-Ti2 $\parallel$  AO (012); (f) TSC (100) $\parallel$  AO (012); (g)AO (001) $\parallel$  AO (001); (h)AO (012) $\parallel$  AO (012); (i) AO (001) $\parallel$  AO (012); (j) TSC (001)-Ti1 $\parallel$  TSC (001)-Ti1; (k)TSC (001)-Ti2 $\parallel$  TSC (001)-Ti2; (m)TSC (001)-Ti1 $\parallel$  TSC (001)-Ti2; (n) TSC (100) $\parallel$  TSC (100); (o)TSC (001)-Ti1 $\parallel$  TSC (100); and (p)TSC (001)-Ti2 $\parallel$  TSC (100).



**Fig. 9.** Differences in electron density of eight interface models (green: Ti; blue: Si; purple: C; pink: Al; red: O). (a) TSC (001)-Ti1 || AO (001); (b) TSC (001)-Ti2 || AO (001); (c) TSC (100) || AO (001); (d) TSC (001)-Ti1 || AO (012); (e) TSC (001)-Ti2 || AO (012); (f) TSC (100) || AO (012); (h) AO (012) || AO (012); (m) TSC (001)-Ti1 || TSC (001)-Ti2;